COPY OF PAPERS

ORIGINALLY FILED Attorney's Docket No.: 07043-103001 / B01-106

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

pplicant: George M. Dougherty

Art Unit: 2812

Serial No.: 09/994,280

Examiner: Unknown

Filed

: November 26, 2001

Title

: THIN FILM MEMBRANE STRUCTURE

Commissioner for Patents Washington, D.C. 20231

TRANSMITTAL LETTER AND PETITION FOR AUTOMATIC EXTENSION

Correspondence relating to this application is enclosed as follows:

- Response to Notice to File Missing Parts of Application; 1.
- 2. Declaration by the Inventor;
- 3. Power of Attorney by Assignee;
- 4. Information Disclosure Statement;
- 5. PTO-1449;
- 6. Check in the amount of \$460;
- 7. Postcard.

The required fees are computed below. Please apply any charges not covered, or any credits, to Deposit Account No. 06-1050.

Applicant hereby petitions under 37 C.F.R. §1.136 for a 3 month extension of time.

\$460

Surcharge for late filing.

65

TOTAL FEE DUE

\$525

A check for \$525 is attached.

05/06/2002 BABRAHA1 00000014 09994280

02 FC:217

460.00 DP

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

April /8, 2002

Date of Deposit

Signatu

Judith Cotham

Typed of Printed Name of Person Signing Certificate

Applicant: George M. Dougherty

Serial No.: 09/994,280

Filed

: November 26, 2001

Page

Date:

: 2

Respectfully submitted,

Attorney's Docket No.: 07043-103001 / B01-106

William J. Egan, III

Reg. No. 28,411

Fish & Richardson P.C. 500 Arguello Street, Suite 500 Redwood City, California 94063

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Attorney's Docket No.: 07043-103001 / B01-106

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George M. Dougherty

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BOX MISSING PARTS

Commissioner for Patents Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 U.S.C. §1.53(b) mailed December 7, 2001 (copy enclosed), applicant claims small entity status (see 37 CFR 1.27) and submits herewith the following:

- Payment of the surcharge of \$65 for late filing of the basic filing fee and/or X declaration;
- A check in the total amount of \$525 is attached; \boxtimes
- A Declaration By the Inventor in compliance 37 CFR §1.63 and a Power of $|\mathbf{x}|$ Attorney By Assignee;

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

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Page : 2

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Attorney's Docket No.: 07043-103001 / B01-106

Respectfully submitted,

William J. Egan, III Reg. No. 28,411



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UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER 07043-103001

09/994,280

11/26/2001

George M. Dougherty

CONFIRMATION NO. 8826

FORMALITIES LETTER

WILLIAM J. EGAN, III Fish & Richardson P.C. Suite 100 2200 Sand Hill Road

Menlo Park, CA 94025

Date Mailed: 12/07/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$65.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/06/2002 BABRANA1 00000014 03504280

01 FC:205

65.00 OP